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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231

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APPLICATION NUMBER

FILING/RECEIPT DATE

United States Patent and Tribemark Office

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/751.708

Pebble beach, CA 93953

David S. Terman P.O. Box 987 12/28/2000

David S. Terman

CONFIRMATION NO. 8389

FORMALITIES LETTER

\*OC00000008645359\*

Date Mailed: 08/19/2002

# NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of
the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as
indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a
substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content
of the sequence listing information recorded in computer readable form is identical to the written (on paper
or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR
1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

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#### Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

of FC:2202

Additional claim fees of \$661 as a small entity, including any required multiple dependent claim fee, are
required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are

10/18/2002 HDARTE1 00000054 09751708

Adjustment date: 02/03/2003 YGIZAW 10/18/2002 HDAMTE1 00000054 09751708

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due.

#### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$661 for a Small Entity

- Total additional claim fee(s) for this application is \$661
  - \$171 for 40 total claims over 20.
  - \$490 for 28 independent claims over 3.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 1 - ATTORNEY/APPLICANT COPY

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### IN THE LINETED STATES PATENT AND TRADEMARK OFFICE

In re patent application of David S. TERMAN

Serial No.: 09/751,708

Group Art Unit: 1635

Filed: December 28, 2000

Examiner:

For: COMPOSITIONS AND METHODS FOR TREATMENT OF NEOPLASTIC

DISEASE

#### STATEMENT IN ACCORDANCE WITH 37 CFR 1.821(f)

Assistant Commissioner for Patents Washington, D.C. 20231

Box SEQUENCE

Sir:

I hereby state the following:

- (a) that the information recorded in computer readable form is identical to the written (paper) sequence listing;
- (b) that the sequence listing submitted herewith contains no new matter.

Respectfully submitted,

Terman

10-14-02

Date

David S. Terman